

**HKEX INFORMATION SERVICES LIMITED**

(A wholly-owned member of the Hong Kong Exchanges and Clearing Limited Group)

26 October 2017  
Our Ref: MDD/17/1376

**By Email**

**To: Direct Connection Derivatives Market Data Vendors, End Users and Application Service Providers (Collectively “Clients”); and Independent Software Vendors (“ISVs”)**

**Copy: Indirect Connection Derivatives Market Data Vendors and End Users**

Dear Sirs,

**HKATS and DCASS Upgrade – Provision of Canned data for Phase 1**

Our notice of 5 July 2017 (Our Ref: [MDD/17/726](#)) regarding the changes to HKEX Orion Market Data Platform - Derivatives Market (OMD-D) for HKATS and DCASS Upgrade (“HKATS/DCASS Upgrade”) refers please.

Please be reminded that the changes to OMD-D for HKATS/DCASS Upgrade will be conducted in two phases with the scopes summarised below.

<b>Phase 1 - Rollout : 2<sup>nd</sup> Quarter (Q2) 2018*</b>	<b>Phase 2 - Rollout : 3<sup>rd</sup> Quarter (Q3) 2018*</b>
<ul style="list-style-type: none"><li>• Addition of new reference data including HKATS Root Symbol (also known as Underlying Code) and Tick Size, etc.</li><li>• Addition of spaces as fillers reserved for possible addition of new data fields introduced in HKATS/DCASS Upgrade</li><li>• Clients may refer to the OMD-D Interface Specifications published at our <a href="#">website</a> for details of the above changes</li></ul>	<ul style="list-style-type: none"><li>• Changes for the HKATS/DCASS Upgrade, which can be addition of new data fields, new attributes or new usages of existing fields, etc.</li><li>• Interface Specification and other technical documents, if any, to be provided in November 2017</li></ul>

*\*Tentative schedule*

A canned data set illustrating the changes for Phase 1 will be available in early November. Interested Clients and ISVs could obtain the canned data set by completing the online Request Form via this [link](#) on or before 3 November 2017.

Last but not the least, the Phase 1 changes will also be reflected in OMD-D Readiness Test Environment effective from late November 2017. We will inform you of the exact date in due course.

Should you have any queries, please contact us at (852) 2211 6558 or send your questions to [IVSupport@hkex.com.hk](mailto:IVSupport@hkex.com.hk).

Yours sincerely,

Karen Lam  
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Markets Division